

United States District Court

Northern District of California

UNITED STATES OF AMERICA

v.

WILLIAM J. "BOOTS" DEL BIAGGIO, III

JUDGMENT IN A CRIMINAL CASE

USDC Case Number: CR-08-00874-001 CRB

BOP Case Number: DCAN308CR000874-001

USM Number: 12696-111

Defendant's Attorney : Elliot R. Peters/Eric H. MacMichael

THE DEFENDANT:

- ☒ pleaded guilty to count(s): One of the Information.
- ☐ pleaded nolo contendere to count(s) ___ which was accepted by the court.
- ☐ was found guilty on count(s) ___ after a plea of not guilty.

The defendant is adjudicated guilty of these offense(s):

<u>Title & Section</u>	<u>Nature of Offense</u>	<u>Offense Ended</u>	<u>Count</u>
18 U.S.C. § 1348	Securities Fraud	April 2008	One

The defendant is sentenced as provided in pages 2 through 6 of this judgment. The sentence is imposed pursuant to the Sentencing Reform Act of 1984.

- ☐ The defendant has been found not guilty on count(s) ___.
- ☐ Count(s) ___ (is)(are) dismissed on the motion of the United States.

IT IS ORDERED that the defendant must notify the United States attorney for this district within 30 days of any change of name, residence, or mailing address until all fines, restitution, costs, and special assessments imposed by this judgment are fully paid. If ordered to pay restitution, the defendant must notify the court and United States attorney of any material changes in economic circumstances.

September 8, 2009

Date of Imposition of Judgment

Signature of Judicial Officer

Honorable Charles R. Breyer, U. S. District Judge

Name & Title of Judicial Officer

September 9, 2009

Date

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IMPRISONMENT

The defendant is hereby committed to the custody of the United States Bureau of Prisons to be imprisoned for a total term of ninety-seven (97) months.

☒ The Court makes the following recommendations to the Bureau of Prisons:
Defendant be place in the Bureau of Prison's camp at Lompoc and that he participate in their residential drug program.

☐ The defendant is remanded to the custody of the United States Marshal. The appearance bond is hereby exonerated.

☐ The defendant shall surrender to the United States Marshal for this district.

☐ at ____ ☐ am ☐ pm on ____.

☐ as notified by the United States Marshal.

The appearance bond shall be deemed exonerated upon the surrender of the defendant.

☒ The defendant shall surrender for service of sentence at the institution designated by the Bureau of Prisons:

☒ before 2:00 pm on January 8, 2010.

☒ as notified by the United States Marshal.

☐ as notified by the Probation or Pretrial Services Office.

The appearance bond shall be deemed exonerated upon the surrender of the defendant.

RETURN

I have executed this judgment as follows:

Defendant delivered on _____ to _____

at _____, with a certified copy of this judgment.

UNITED STATES MARSHAL

By _____
Deputy United States Marshal

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SUPERVISED RELEASE

Upon release from imprisonment, the defendant shall be on supervised release for a term of three (3) years.

The defendant must report to the probation office in the district to which the defendant is released within 72 hours of release from the custody of the Bureau of Prisons.

The defendant shall not commit another federal, state or local crime.

The defendant shall not unlawfully possess a controlled substance. The defendant shall refrain from any unlawful use of a controlled substance. The defendant shall submit to one drug test within 15 days of release from imprisonment and two periodic drug tests thereafter.

- ☒ The above drug testing condition is suspended based on the court's determination that the defendant poses a low risk of future substance abuse. (Check if applicable.)
- ☒ The defendant shall not possess a firearm, ammunition, destructive device, or any other dangerous weapon. (Check if applicable.)
- ☒ The defendant shall cooperate in the collection of DNA as directed by the probation officer. (Check if applicable.)
- ☐ The defendant shall register with the state sex offender registration agency in the state where the defendant resides, works, or is a student, as directed by the probation officer. (Check if applicable.)
- ☐ The defendant shall participate in an approved program for domestic violence. (Check if applicable.)

If this judgment imposes a fine or restitution, it is a condition of supervised release that the defendant pay in accordance with the Schedule of Payments sheet of this judgment.

The defendant must comply with the standard conditions that have been adopted by this court as well as with any additional conditions in this judgment.

STANDARD CONDITIONS

- 1) The defendant shall not leave the judicial district without permission of the court or probation officer;
- 2) The defendant shall report to the probation officer, and shall submit a truthful and complete written report within the first five days of each month;
- 3) The defendant shall answer truthfully all inquiries by the probation officer and follow the instructions of the probation officer;
- 4) The defendant shall support his or her dependants and meet other family responsibilities;
- 5) The defendant shall work regularly at a lawful occupation, unless excused by the probation officer for schooling, training, or other acceptable reasons;
- 6) The defendant shall notify the probation officer at least ten days prior to any change in residence or employment;
- 7) The defendant shall refrain from excessive use of alcohol and shall not purchase, possess, use, distribute, or administer any controlled substance or any paraphernalia related to any controlled substances, except as prescribed by a physician;
- 8) The defendant shall not frequent places where controlled substances are illegally sold, used, distributed, or administered;
- 9) The defendant shall not associate with any persons engaged in criminal activity, and shall not associate with any person convicted of a felony unless granted permission to do so by the probation officer;
- 10) The defendant shall permit a probation officer to visit him or her at any time at home or elsewhere, and shall permit confiscation of any contraband observed in plain view of the probation officer;
- 11) The defendant shall notify the probation officer within seventy-two hours of being arrested or questioned by a law enforcement officer;
- 12) The defendant shall not enter into any agreement to act as an informer or a special agent of a law enforcement agency without the permission of the Court; and
- 13) As directed by the probation officer, the defendant shall notify third parties of risks that may be occasioned by the defendant's criminal record or personal history or characteristics, and shall permit the probation officer to make such notifications and to confirm the defendant's compliance with such notification requirement.

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SPECIAL CONDITIONS OF SUPERVISION

1) The defendant shall pay any restitution and special assessment that is imposed by this judgment and that remains unpaid at the commencement of the term of supervised release,

The defendant shall provide the probation officer access to any requested financial information, including tax returns, and shall authorize the probation office to conduct credit checks and obtain copies of income tax returns.

The defendant shall not maintain a position of fiduciary capacity without the prior permission of the probation officer.

2) The defendant shall be prohibited from serving or acting as an officer or any issuer that has a class of securities registered, pursuant to Section 12 of the Exchange Act, 15 U.S.C. § 781, or that is required to file reports, pursuant to Section 15(d) of the Exchange Act, 15 U.S.C. § 780(d).

3) The defendant shall abstain from the use of all alcoholic beverages.

4) The defendant shall participate in a mental health treatment program, as directed by the probation officer. The defendant is to pay part or all costs of this treatment, at an amount not to exceed the cost of treatment, as deemed appropriate by the probation officer. Payments shall never exceed the total cost of mental health counseling. The actual co-payment schedule shall be determined by the probation officer.

5) The defendant shall not engage in any form of gambling and shall not frequent any establishment where gambling is conducted as directed by the probation officer.

It is further ordered that the defendant shall pay to the United States a special assessment of \$100.00 which shall be due immediately. While incarcerated, payment of criminal monetary penalties are due during imprisonment at the rate of not less than \$25 per quarter and payment shall be through the Bureau of Prisons Inmate Financial Responsibility Program. Criminal monetary payments shall be made to the Clerk of U.S. District Court, 450 Golden Gate Ave., Box 36060, San Francisco, CA 94102

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CRIMINAL MONETARY PENALTIES

The defendant must pay the total criminal monetary penalties under the schedule of payments on Sheet 6.

	<u>Assessment</u>	<u>Fine</u>	<u>Restitution</u>
Totals:	\$ 100.00	\$ -0-	\$ 67,498,462.00

☐ The determination of restitution is deferred until . An *Amended Judgment in a Criminal Case* (AO 245C) will be entered after such determination.

☒ The defendant shall make restitution (including community restitution) to the following payees in the amount listed below.

If the defendant makes a partial payment, each payee shall receive an approximately proportional payment unless specified otherwise in the priority order or percentage payment column below. However, pursuant to 18 U.S.C. § 3664(i), all nonfederal victims must be paid before the United States is paid.

<u>Name of Payee</u>	<u>Total Loss*</u>	<u>Restitution Ordered</u>	<u>Priority or Percentage</u>
see attachment	\$67,498,462.00	\$67,498,462.00	
<u>Totals:</u>	<u>\$ 67,498,462.00</u>	<u>\$ 67,498,462.00</u>	

☒ Restitution amount ordered pursuant to plea agreement \$ 67,498,462.00

☒ The defendant must pay interest on restitution and a fine of more than \$2,500, unless the restitution or fine is paid in full before the fifteenth day after the date of the judgment, pursuant to 18 U.S.C. § 3612(f). All of the payment options on Sheet 6, may be subject to penalties for delinquency and default, pursuant to 18 U.S.C. § 3612(g).

☐ The court determined that the defendant does not have the ability to pay interest, and it is ordered that:

☐ the interest requirement is waived for the ☐ fine ☐ restitution.

☐ the interest requirement for the ☐ fine ☐ restitution is modified as follows:

* Findings for the total amount of losses are required under Chapters 109A, 110, 110A, and 113A of Title 18 for offenses committed on or after September 13, 1994, but before April 23, 1996.

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SCHEDULE OF PAYMENTS

Having assessed the defendant's ability to pay, payment of the total criminal monetary penalties are due as follows:

- A ☒ Lump sum payment of \$100.00 due immediately,
☐ not later than ____, or
☐ in accordance with () C, () D, () E or () F below; or
- B ☐ Payment to begin immediately (may be combined with () C, () D, or () F below); or
- C ☐ Payment in equal quarterly installments of \$ 25.00, after the date of this judgment; or
- D ☐ Payment in equal (e.g. weekly, monthly, quarterly) installments of \$ _ over a period of __ (e.g., months or years), to commence _ (e.g., 30 or 60 days) after release from imprisonment to a term of supervision; or
- E ☐ Payment during the term of supervised release will commence within (e.g, 30 or 60 days) after release from imprisonment. The court will set the payment plan based on an assessment of the defendant's ability to pay at that time; or
- F ☐ Special instructions regarding the payment of criminal monetary penalties:

Unless the court has expressly ordered otherwise, if this judgment imposes imprisonment, payment of criminal monetary penalties is due during imprisonment at the rate of not less than \$25.00 per quarter. All criminal monetary penalties, except those payments made through the Federal Bureau of Prisons' Inmate Financial Responsibility Program, are made to the clerk of the court.

The defendant shall receive credit for all payments previously made toward any criminal monetary penalties imposed.

☒ Joint and Several

Defendant and defendant Names	Case Numbers (including defendant number)	Total Amount	Joint and Several Amount	Corresponding Payee (if appropriate)
David Scott Cacchione	CR-09-0296-01 CRB	\$47,542,887.00	\$47,542.887.00	

- ☐ The defendant shall pay the cost of prosecution.
☐ The defendant shall pay the following court cost(s):
☐ The defendant shall forfeit the defendant's interest in the following property to the United States:

JUDGMENT PREPARATION WORKSHEET

Total: \$67,498,462.00

Case Number:	CR 08-00874-01	Defendant Name:	Other defendants this defendant is joint and several with
Victim's Last Name	Victim's First Name	Victim's Restitution Amount Ordered	
The Private Bank of the Peninsula		916,867.00	David Scott Cacchione
Heritage Bank of Commerce		4,827,073.00	David Scott Cacchione
Craig Leipold		10,000,000.00	David Scott Cacchione
AEG Facilities Inc.		6,876,143.00	David Scott Cacchione
Modern Bank		9,335,638.00	David Scott Cacchione
DGB Investments, Inc.		3,000,000.00	David Scott Cacchione
Pacific Capital Bank		1,840,254.00	David Scott Cacchione
Valley Community Bank		4,191,837.00	David Scott Cacchione
Security Pacific Bank		5,000,000.00	David Scott Cacchione
United America Bank		1,555,275.00	David Scott Cacchione
1984 Revocable Wirth		30,000.00	
1992 Revocable Staedler		1,106,000.00	
Zraick, Jr.	Donald & Ann	100,000.00	
Arata	Andrew	150,000.00	
Arata	Daniel	30,000.00	
Arata	Don	500,000.00	
Arata	Nicholas	15,000.00	
Aymer	Lynn & Michael	150,000.00	
Baron	William	200,000.00	
Baron Family Revocable Trust		1,900,000.00	
Baron Family Revocable Trust		100,000.00	
Bell and Associa Ward		100,000.00	
Brandenburg	Karen	250,000.00	
Brandenburg	William	200,000.00	
Brandenburg	Revocable Trust	450,000.00	
Brandenburg Rv William		100,000.00	
Brandenburg Irr Brenten		25,000.00	
Brandenburg	Eric	100,000.00	
Brandenburg	Taylor Ann	50,000.00	
Brandenburg Sel Eric		450,000.00	
Brannenbug 200 Jackson		50,000.00	
Brannenbug Rev Gary		150,000.00	
Bronstein	Irving	1,100,000.00	
Bronstein	Troy	150,000.00	
Connor	David	500,000.00	
Cook	Gary & Mary	200,000.00	
DeCosta Trust	Verna	100,000.00	
Dj Leo	Joseph	25,000.00	
Economic Concepts, Inc.		300,000.00	
Eric&Christine Bachelor Child's Trt		419,575.00	
Family Revocabl Cook		250,000.00	
Family Trust	Santucci	400,000.00	

JUDGMENT PREPARATION WORKSHEET

Total: \$67,498,462.00

Case Number:	CR 08-00874-01	Defendant Name:	William J. "Boots" Del Biaggio
Victim's Last Name	Victim's First Name	Victim's Restitution Amount Ordered	Other defendants this defendant is joint and several with
Fat Cat International		100,000.00	
Goyak	John	200,000.00	
Group, Inc.	Peregrine	100,000.00	
Hengehold Motor Company		500,000.00	
Investments, LLC Croesus		50,000.00	
Irrevocable Insu Misser		225,000.00	
K-Fab, Inc.		275,000.00	
Kedell Family Trust		560,000.00	
Knoerle Irevoca Diana		25,000.00	
Laupheimer	Ronald	600,000.00	
Lee Family Trust		250,000.00	
Marasigan	Rene & Ramona	175,000.00	
Mayo Family Revocable Trust		200,000.00	
Mayo Family Revocable Trust		100,000.00	
Medalist & Company		200,000.00	
Nicholas	Michael	50,000.00	
Nicholas Family Ltd. Partnership		100,000.00	
Orlandi Gift Trust		35,000.00	
Orlandi Gift Trus Linsey		35,000.00	
Orlandi Gift Trus Richard		20,000.00	
Orlandi Living Tr Lisa B.		100,000.00	
Orlandi Living Tr Lisa		150,000.00	
Pacheco	Diana	10,000.00	
Perkins	Barbara	150,000.00	
Peters RW & CH Trust		1,000,000.00	
Peterson	Charles	950,000.00	
Rlachard and Donna Willis Trust		120,000.00	
Romo LP		100,000.00	
Saba	George & Dianne	1,000,000.00	
San Juan Living T Patricia		100,000.00	
Santa Fe Trust, Inc.		100,000.00	
Silvani	Peter	75,000.00	
Sponholz	Richard	200,000.00	
Stolar	Fred & Jeanne	250,000.00	
Suttle Living Tru Susan		500,000.00	
Taylor	Christine	200,000.00	
Thornhill Living Trust		200,000.00	
Trust	Brothers	250,000.00	
Willis Revocable	Donna &	1,000,000.00	
Zalick, Jr.	Ronald	50,000.00	

JUDGMENT PREPARATION WORKSHEET

Total: \$67,498,462.00

Case Number:	CR 08-00874-01	Defendant Name:	William J. "Boots" Del
Victim's Last Name	CRB	Victim's First Name	Biaggio
		Victim's Restitution Amount Ordered	Other defendants this defendant is joint and several with
		\$67,498,462.00	